## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. First Named Inventor 10/544,226

Confirmation No.

9843

Peter JAENKER

Filed

August 2, 2005

TC/A.U. Examiner (To Be Assigned) (To Be Assigned)

Docket No.

056226.56477US

Customer No.

23911

Title

Deformable Aerodynamic Profile

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

## I. Time Period of Submission

This Information Disclosure Statement is submitted:

	$\boxtimes$	1)	no later tha	an three r	nonths from	the a	applicatio	n's filing	date
or 2)	before	$_{ m the}$	mailing date of	the first	Office Action	on t	he merits	(whichev	ver is
later	) or 3)	befor	re a first Office	Action af	ter the filing	g of a	Request	for Conti	nued
Exan	ninatio	n, ai	nd therefore no	statemer	nt under 37	C.F.R	k. § 1.97(e	e) or fee u	ınder
37 C.	F.R.§ 1	l.17(	p) is required.						

	<u> </u>	after the	late	er of three	mon	ths from th	ne application	on's	filing
date	and the m	ailing date of	the	e first Offi	ce Ac	tion on the	e merits, bu	t be	fore a
Final	Office Ac	tion, a Notice	of.	Allowance	, or a	n action cle	osing prosec	utic	on $(Ex)$
parte	Quayle),	(whichever	is	earlier),	and	therefore	Applicant	is	filing
concu	rrently he	rewith:							

a Statement under 37 C.F.R. § 1.97(e); or
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

Page 1 of 3

a fee in the amount of \$180.00 under 37 C.F.R.  $\S$  1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or
III. Statement under 37 C.F.R. § 1.704(d)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
IV. Submission of Non-English Language Documents
The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449:
The relevance of document(s) <u>AB, AN and AO</u> to the subject matter of the present invention is/are provided in the specification of the above-identified application.
Corresponding foreign or international report(s) citing document(s) <u>AB-AC</u> , <u>AP and AR</u> , together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.
English language abstract(s) is/are submitted for document(s)

Page 2 of 3

## Attorney Docket No. 056226.56477US

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		Applicant	submits	the	following	explanations:
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since	, fi ided in 37 they were	led C.F.R. §1.98(d)	, from which, copies of the mitted to or co	ch this a le docur cited by	application cla nents are not the United S	cation Serial No. aims benefit. As t being provided tates Patent and
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Complete if Known Substitute for form 1449A/PTO Application Number 10/544,226 INFORMATION DISCLOSURE Filing Date August 2, 2005 STATEMENT BY APPLICANT First Named Inventor **Peter JAENKER** Art Unit (To Be Assigned) **Examiner Name** (To Be Assigned) (use as many sheets as necessary)

Attorney Docket Number

of

Sheet

				U.S. PATENT	DOCUMENTS		
Examiner Initials'	Cite No.1	Document Numb	er	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
· · · · · · · · · · · · · · · · · · ·		Number-Kind Code <sup>2</sup> (if kr	own)			Figures Appear	
	AA	US- 5,869,189	Α	02/09/1999	Hagood, IV, et al.		
	AB	US- 6,048,622	A	04/11/2000	Hagood, IV, et al.		
	AC	US- 6,375,127	B1	04/23/2002	Kari Appa	· · · · · · · · · · · · · · · · · · ·	
	AD	US- 6,043,587	A	03/28/2000	Peter Jaenker		
	AE	US- 6,076,776	A	06/20/2000	Elmar J. Breitbach, et al.		
	AF	US- 2004/0070311	A1	04/15/2004	Marius Bebesel, et al.		
	AG	US- 5,473,214	A	12/05/1995	Stephen Hildebrand		
	AH	US- 6,152,405	A	1/28/2000	Dietmar Muller		
		US-	·	_			

		FOREI	GN PATENT DO	CUMENTS		1
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	Al	DE 694 22 916 T2	10/05/2000	Noise Cancellation Tech	Corresponds to doc. AG	
	AJ	DE 197 12 034 A1	09/24/1998	Deutsch Zentrum Luft – Und Raumfahrt		ABS
	AK	DE 197 45 468 C1	04/15/1999	Daimler Chrysler AG	Corresponds to doc. AD	
	AL	DE 100 60 018 A1	06/13/2002	Daimler Chrysler AG		ABS
	AM	DE 101 39 686 A1	05/02/2002	Eads Deutschland GMBH		ABS
	AN	DE 196 43 222 C2	04/23/1998	Daimler Benz AG	Corresponds to doc. AH	
	AO	DE 197 09 917 C1	04/23/1998	Daimler Benz AG		ABS
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	AQ	DE 100 54 643 A1	05/16/2002	Deutsch Zentr Luft & Raumfahrt		ABS
	AR	EP 1 235 284 A2	08/28/2002	Eads Deutschland GMBH		ABS

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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					Complete if Known	
Substitute	Substitute for form 1449B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)	Application Number	10/544,226			
	INFORMATION D	ISCLOSUE	₽F	Filing Date	August 2, 2005	
				First Named Inventor	Peter JAENKER	
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	(use as many sheets	as necessary)		Examiner Name	(To Be Assigned)	
Sheet	2	of	2	Attorney Docket Number	056226.56477US	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AS	PCT INTERNATIONAL SEARCH REPORT dated July 7, 2004 w/ English translation of relevant portion (Eight (8) pages)	
	AT	PCT/ISA/237 W/ ENGLISH TRANSLATION (Ten (10) pages)	
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Examiner Signature		/Tien Dinh/ (11/24/2008) Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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